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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/955,059	09/19/2001	Itaru Hatanaka	1046.1261	8631
21171 STAAS & HAL	7590 12/04/200 SEY LLP	EXAMINER		
SUITE 700	RK AVENUE, N.W.	PIZIALI, JEFFREY J		
WASHINGTO			ART UNIT	PAPER NUMBER
			2629	
			MAIL DATE	DELIVERY MODE
			12/04/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
Office Action Comments	09/955,059	HATANAKA ET AL.				
Office Action Summary	Examiner	Art Unit				
	JEFF PIZIALI	2629				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1)⊠ Responsive to communication(s) filed on <u>28 Se</u>	entember 2009					
<i>7</i> —	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
closed in accordance with the practice under Ex parte Quayre, 1000 O.B. 11, 400 O.G. 210.						
Disposition of Claims						
4)⊠ Claim(s) <u>See Continuation Sheet</u> is/are pendin	4)⊠ Claim(s) <u>See Continuation Sheet</u> is/are pending in the application.					
4a) Of the above claim(s) <u>See Continuation Sheet</u> is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6) Claim(s) is/are rejected.						
7) Claim(s) is/are objected to.	· · · · · · · · · · · · · · · · · · ·					
8) Claim(s) <u>29,66-70,74,76 and 136</u> are subject to	restriction and/or election requir	ement.				
Application Papers						
9) The specification is objected to by the Examiner.						
10)☐ The drawing(s) filed on is/are: a)☐ acce						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal Pa	te				

Continuation of Disposition of Claims:

Claims pending in the application are 19,21-25,29,31-33,38,61,64,66-70,74,76-78,83,109,111-115,121-123,128 and 136.

Continuation of Disposition of Claims:

Claims withdrawn from consideration are 19,21-25,31-33,38,61,64,77,78,83,109,111-115,121-123 and 128.

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### **DETAILED ACTION**

### **Priority**

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

# Drawings

2. The drawings have not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the figures.

## **Specification**

3. The specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

#### Election/Restrictions

4. Applicant's election without traverse of Species 1-A (claims 29, 66-70, 74, 76, and 136) in the reply filed on 28 September 2009 is acknowledged and appreciated.

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5. Claims 19, 21-25, 31-33, 38, 61, 64, 77, 78, and 83 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to nonelected species, there being no allowable generic or linking claim. Election was made without traverse in the reply filed on 28 September 2009.

- 6. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - I. Claims 29, 66-70, 74, and 76, drawn to a control system (claim 29) and a computer readable storage medium (claims 66-70, 74, and 76), classified in class 345, subclass 163 (e.g., pointing unit products).
  - II. Claim 136, drawn to a control method, classified in class 715, subclass 764 (e.g., methods of controlling displayed objects).

The inventions are distinct, each from the other because of the following reasons:

7. Inventions I and II are related as product and process of use.

The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product. See MPEP § 806.05(h).

(1) In the instant case, the process for using the product as claimed (*in claim 136*) can be practiced with another materially different product (*than that of claim 29*).

For example, the process as claimed (*in claim 136*) can be practiced with another materially different product (*than that of claim 29*) not including at least:

"a communication unit transmitting the position on the motion picture receiving a pointing manipulation to a server including a unit recognizing an object in the motion picture based on the position on the motion picture, and receiving, from said server, information on the object in the motion picture recognized by said server," as claimed in independent claim 29 (lines 6-9);

"a processing unit executing a predetermined process related to the object recognized," as claimed in independent claim 29 (lines 10-11); and

"wherein said processing unit makes said reproducing unit reproduce from said recording medium the image related to the object and makes said display device display the reproduced image.," as claimed in independent claim 29 (lines 12-14).

Additionally, the process for using the product as claimed (*in claim 136*) can be practiced with another materially different product (*than that of claims 66-70, 74, and 76*).

For example, the process as claimed (*in claim 136*) can be practiced with another materially different product (*than that of claims 66-70, 74, and 76*) not including at least:

"a computer readable storage medium embodying a program of instructions executable by a machine including a communication unit to control a display device including a display Art Unit: 2629

unit displaying a motion picture and a pointing unit pointing a position on the motion picture, to perform operations," as claimed in independent claim 66 (lines 1-4);

"transmitting the position on the motion picture receiving a pointing manipulation to a server including a recognizing unit recognizing an object in the motion picture receiving the pointing manipulation," as claimed in independent claim 66 (lines 5-7); and

"receiving, from said server, information on the object in the motion picture recognized by said server," as claimed in independent claim 66 (lines 8-9).

(2) In the instant case, the product as claimed (*in claims* \_) can be used in a materially different process of using that product (*than that of claim 136*).

For example, the product as claimed (*in claims* \_) can be used in a materially different process of using that product (*than that of claim 136*) without at least:

"recognizing an object in the motion picture on which the pointing manipulation is effected; and executing a predetermined process related to the object recognized, and wherein said display device includes a reproducing unit reproducing the motion picture recorded on a recording medium," as claimed in independent claim 136 (lines 4-7);

"said recording medium is recorded with a first motion picture and a second image related to an object corresponding to the first motion picture," as claimed in independent claim 136 (lines 8-9); and

"said predetermined process involves reproducing from said recording medium the second image related to the object recognized and making said display device display the reproduced second image," as claimed in independent claim 136 (lines 10-12).

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8. Restriction for examination purposes as indicated is proper because all these inventions listed in this action are independent or distinct for the reasons given above <u>and</u> there would be a serious search and examination burden if restriction were not required because one or more of

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the following reasons apply:

(a) the inventions have acquired a separate status in the art in view of their different

classification;

(b) the inventions have acquired a separate status in the art due to their recognized

divergent subject matter;

(c) the inventions require a different field of search (for example, searching different

classes/subclasses or electronic resources, or employing different search queries);

(d) the prior art applicable to one invention would not likely be applicable to another

invention;

(e) the inventions are likely to raise different non-prior art issues under 35 U.S.C. 101

and/or 35 U.S.C. 112, first paragraph.

Applicant is advised that the reply to this requirement to be complete must include

(i) an election of a invention to be examined even though the requirement may be traversed (37)

CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention may be made with or without traverse. To reserve a right to

petition, the election must be made with traverse. If the reply does not distinctly and specifically

point out supposed errors in the restriction requirement, the election shall be treated as an

election without traverse. Traversal must be presented at the time of election in order to be

considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected invention.

If claims are added after the election, applicant must indicate which of these claims are readable upon the elected invention.

Should applicant traverse on the ground that the inventions are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

9. The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable product claim will be considered for rejoinder. <u>All</u> claims directed to a nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper

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restriction requirement between product claims and process claims may be maintained.

Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. **Failure to do so may result in a loss of the right to rejoinder**. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

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10. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeff Piziali whose telephone number is (571) 272-7678. The examiner can normally be reached on Monday - Friday (6:30AM - 3PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chanh Nguyen can be reached on (571) 272-7772. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jeff Piziali/ Primary Examiner, Art Unit 2629 27 November 2009